

## Haitong International Won Multiple Awards by Major Institutions

**Hong Kong, 30 June 2021** – Haitong International Securities Group Limited (“Haitong International”; 665.HK) has won multiple major awards granted by several key industry institutions and international media, namely:

- “Bloomberg Businessweek” Financial Institution Awards 2021 – Securities Sector *“High Net Worth Service - Excellence”*, *“Derivatives Provider of the Year - Excellence”*
- “Institute of ESG & Benchmark (IESGB)” ESG Achievement Awards 2020 – *“Special ESG Awards – Outstanding ETF – Platinum Award”*
- “Human Resources Online” Asia Recruitment Awards 2021 - *“Best Employer Brand Development – Silver Award”*

Haitong International Wealth Management has been gearing up its Private Wealth Management services targeted at the entrepreneur client segment. In 2021, Haitong International continued to expand the team and upgrade the product platform. Products from broader markets, sectors and themes were introduced to further enrich the investment variety. In addition, The Wealth Management segment continued forging ahead its wealth planning services and shifting its retail business online. With stronger cooperation with the IB, asset management and global markets departments, it also pushed forward the construction of comprehensive and premium one-stop services to High Net Worth clients.

In the derivatives space, Haitong International is one of the leading Chinese warrants & CBBCs issuers in Hong Kong. In Q1 2021, the business of warrants and CBBCs experienced significant growth. During the first quarter, Haitong International issued over 1,400 warrants and CBBCs with the total turnover exceeding HK\$240 billion, representing 198% growth compared to a year ago and ranked second in the Hong Kong market.

On the ETF front, Haitong International Asset Management launched its “Haitong MSCI China A ESG ETF” in 2020, which became the first broad-based ESG ETF listed on the Hong Kong Stock Exchange offering broad ESG investment exposure on China A shares. Prior to this, this ETF has already won the “Most Innovative ETF (Hong Kong)” title from the “Insights & Mandate” Professional Investment Award 2021.

Haitong International has always believed that people is the foundation of the business. The company has been proactively promoting and elevating its brand to attract more talents. In 2020, Haitong International developed an innovative TV commercial for recruitment, which showcased Haitong International’s energetic working culture. The TV commercial was aired on Hong Kong’s mainstream TV channels and the viewership exceeded 4.5 million. With a view to create more job opportunities amid the pandemic, Haitong International also participated in the “Financial Industry Recruitment Scheme for Tomorrow” (FIRST) administered by Financial Services Development Council, as well as the “New Graduates – New Opportunities Scheme”

organized by The Hong Kong Chinese Enterprises Association. Almost 100 job opportunities at Haitong International were offered to the fresh graduates. Since 2017, Haitong International has been named “Best Companies to Work For in Asia (Hong Kong)” for three consecutive years by HR Asia.

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#### **Haitong International Securities Group Limited**

(Incorporated in Bermuda with limited liability)

Haitong International Securities Group Limited (“Haitong International”; Stock Code: 665.HK), together with its subsidiaries, is an international financial institution with established presence in Hong Kong and a rapidly expanding network across the globe. It strives to serve as a bridge linking up the Chinese and overseas capital markets. The parent company of Haitong International is Haitong Securities Co. Ltd (“Haitong Securities”, Stock Code: 600837.SH; 6837.HK).

To date, Haitong International has well positioned to serve about 200,000 corporate, institutional, as well as retail and high-net worth clients worldwide. Its well-established financial services platform provides corporate finance, wealth management, asset management, institutional clients (key businesses include fixed income, currency and commodities (FICC), derivatives, institutional equities) and investments business, and a full spectrum of financial products and services. Haitong International possesses a sound risk management system that is in line with international standards. The company has been assigned a “Baa2” long-term issuer rating by Moody’s and a “BBB” long-term credit rating by Standard and Poor’s. Haitong International has a global financial servicing network covering the world’s major capital markets including Hong Kong, Singapore, New York, London, Tokyo, Mumbai, and Sydney, thereby making it to be a leading global financial institution with international competitiveness, systematic importance and brand influence.

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